3.4 DATABASE RELATIONS

Database relations will be described in the following sections. The
purpose of the relation and descriptions of some fields will be given.
Fields described in section 3.3 and fields needing no explanation will not
be described below.

3.4.1   ASSET_CHANGE relation

The ASSET_CHANGE relation contains information about
acquisitions/sales/transfers of facilities/assets/deposits involving thrift
institutions.

Fields:

CONTROL_INST - Used for controlling processing of the data entry screens. The
    values are "B" if the buyer is to be displayed on screen AUV021_1, "S" if
    the seller is to be displayed on screen AUV021_1.

SELLING_NON_OTS_INST_NAME, CITY, STATE - If the SELLING_DOCKET is on the
    INSTITUTION relation, these fields will be blank and information from the
    INSTITUTION relation will be used.   If the SELLING_DOCKET = "00000", the
    user must enter the institution's name, city and state.   If SELLING_DOCKET
    starts with "T" or "N", (NATS temporary docket number), information will be
    loaded from the NATS database.

BUYING_NON_OTS_INST_NAME, CITY, STATE - Same as for SELLING_DOCKET. See above.

ASSET_CHANGE_CODE - If the institution is transferring facilities/assets as a

result of liquidation or termination, the ASSET_CHANGE_CODE will be the same as the institution's OTS_REG_END_CODE. (See THREE_CHAR_CODES for a definition of the codes.)

REC_STATUS - Will always = "A" for this relation; will be changed if moved to the AUDIT_ASSET_CHANGE relation.

3.4.2   AUDIT_ASSET_CHANGE

When an ASSET_CHANGE record is modified or voided, the original ASSET_CHANGE record will be written to this relation. REC_STATUS will be set to "V" for a void, "I" for a modification.

3.4.3   AUDIT_FIELD_CODES

AUDIT_FIELD_CODES contains a field number and corresponding field name for each field on the INSTITUTION relation that will be audited for the AUDIT_INSTITUTION relation.

3.4.4   AUDIT_GOING_DOCKETS

When a GOING_DOCKETS record is modified or voided, the original GOING_DOCKETS record will be written to this relation. REC_STATUS will be set to "V" for a void, "I" for a modification.

3.4.5   AUDIT_INSTITUTION

When an INSTITUTION record is modified, the relation is checked to see which fields were modified. A record is written to the AUDIT_INSTITUTION relation for every change detected.

Fields:

TRANSACTION_NUM - The transaction being modified.

SECONDARY_TRANS_NUM - If the modifications are the result of changes being rolled forward from a different transaction, SECONDARY_TRANS_NUM = transaction number that caused the roll forward. (See program AUV075 for roll forward.)

FIELD_CODE - Code number of the field that was changed.

ORIG_ENTRY - Contents of the field before the user modifications.

CURR_ENTRY - Contents of the field after the user modifications.

3.4.6   BEGIN_A_DOCKET

This relation was initially established to provide support for downloading

new institutions to a PC database for Information Services. The PC
database is no longer being maintained. The relation was kept separate
since the information applies only to an institution's entry into OTS
regulation.

3.4.7 CHARTER_TYPES

This relation is used to translate charter codes and to identify some of
the characteristics of each charter type. Records cover specific periods
of time, as the names of charter types have changed from time to time. To
get the current names, select records with RECORD_END_DATE = 99991231. To
get the name for a specific date, select the record where that date falls
between RECORD_START_DATE and RECORD_END_DATE.

Fields:

CHART_TYPE - Charter type code.

FED_STATE - Contains "F" for federal charter types, "S" for state charters.
    See ONE_CHAR_CODES to translate the code.

STOCK_MUT - Contains "S" for stock charters, "M" for mutual charters. See
    ONE_CHAR_CODES to translate the code.

INS_TYPE - Contains a code indicating the type of insurance for the charter.
    See ONE_CHAR_CODES to translate the code.

AGENCY_CODE - Contains a code indicating the agency that the charter type
    represents. See ONE_CHAR_CODES to translate the code.

3.4.8 CMSA_CODES

This relation provides information about Consolidated Metropolitan
Statistical Area (CMSA) codes. The information comes from FIPS publication
8-5 and updates. No automated source has been found for this information,
so the records and fields are loaded through SQL or through online data
entry screens.

Fields:

CMSA_CD - FIPS code assigned to the CMSA.

CMSA_PMSA_TYPE - If the code = "0", the record gives the CMSA information. If
    the code = "1", the record gives information about a PMSA that is part of
    the CMSA.

CMSA_PMSA_CD - Blank if CMSA_PMSA_TYPE = "0"; contains a PMSA code included in
    the CMSA if CMSA_PMSA_TYPE = "1".

CMSA_STATE - First state listed in the title of the CMSA if CMSA_PMSA_TYPE =
    "0"; state of the PMSA if CMSA_PMSA_TYPE = "1".

CMSA_TITLE - Title of the CMSA if CMSA_PMSA_TYPE = "0"; title of the PMSA if

CMSA_PMSA_TYPE = "1".

3.4.9 DOCKET_NUMBERS

Contains one record giving the next available docket number, which will be
assigned to the next new institution added to the Corporate Structure
database or to an aplication for a new thrift in the NATS database.

Because of the way this relation is defined, a shared write transaction on
this relation acts the same as a protected or exclusive write.

3.4.10 FIPS_CODES

This relation contains state, county, and MSA/PMSA/CMSA data for each
county. The information comes from FIPS publications 6-4, 8-5 and updates.

Fields:

FIPS_STATE - Alpha state postal abbreviation

FIPS_CNTY - Name of county or independent city.

FIPS_CNTY_ZIP - Purpose unknown; not maintained

FIPS_CITY - Blank for most records; for New England states contains township
    name, meaning that there will be multiple current records for a New England
    county.

FIPS_CNTY_ZIP - Purpose unknown; not maintained

FIPS_PMSA_MSA - PMSA/MSA code if the county is in a metropolitan area.

FIPS_CMSA - CMSA code if the county is in a CMSA.

3.4.11 GOING_DOCKETS

This relation contains a record for every docket on the institution
relation. If the docket exited OTS regulation by merger, then the record
will be filled in with information about the merger and REC_STATUS will be
changed from blank to "A".

Fields:

GOING_DOCKET - Disappearing institution in a merger.

GAINING_DOCKET - Surviving institution in a merger; blank if the GOING_DOCKET
    has not exited OTS regulation in a merger.

MERGER_DATE - Date the merger became effective.

MERGER_CODE - Code to specify the type of merger. Code translations are in the
    THREE_CHAR_CODES relation.

DISAPPEARING_ASSETS - Assets of the GOING_DOCKET at the time of the merger, taken from the Thrift database, field SC60.

ACCT_METHOD_FOR_GOING - Code translations are in the ONE_CHAR_CODES relation, code type AM.

3.4.12 INSTITUTION

Contains current and historical information about OTS-regulated institutions and Federal Home Loan Bank System members. The current information for an institution is found in the record with RECORD_END_DATE = 99991231 and REC_STATUS = 'A'.

Fields:

CHART_TYPE - A code showing the charter for the institution. Code translations are in CHARTER_TYPES.

CHART_CONV_DATE - Date of the last charter type change for the institution. If the institution has never changed charter types, this date will be 0.

CERT_FSLIC - FSLIC certificate number; no longer maintained.

CERT_FDIC - FDIC certificate number; uploaded periodically from FDIC data.

FED_STATE, STOCK_MUT, INST_TYPE - Derived from CHART_TYPE (see CHARTER_TYPES).

INSUR_DATE - Date the institution became insured.

OTS_REG - "Y" if the institution was OTS regulated during the time period covered by the record; otherwise, "N".

OTS_REG_START_DATE, OTS_REG_END_DATE - Start and end dates of OTS regulation.

OTS_REG_START_CODE, OTS_REG_END_CODE - Codes showing how the institution entered and exited OTS regulation. See THREE_CHAR_CODES for code translations.

OTS_REG_END_TRANS - "Y" if this transaction ended OTS regulation for the docket. To find the transaction that ended OTS regulation for an institution, select the record for that institution where OTS_REG_END_TRANS = "Y" and ACTUAL_VOID <> "V".

Address fields - There are two sets of address fields, one for the official address and one for the mailing address.

CNTY_NAME, FIPS codes - See the FIPS_CODES relation.

MEM_FHFB - "Y" if the institution was a member of the Home Loan Bank System during the time period covered by the record; otherwise, "N". Formerly, any OTS-regulated thrift was required to be an FHLB member; that law was changed in 1995. In addition, many non-regulated institutions are also FHLB members.